# TDP-43 regulates the mammalian spinogenesis through translational repression of Rac1

Pritha Majumder · Yi-Ting Chen · Jayarama Krishnan Bose · Cheng-Chun Wu · Wei-Cheng Cheng · Sin-Jhong Cheng · Yen-Hsin Fang · Ying-Ling Chen · Kuen-Jer Tsai · Cheng-Chang Lien · Che-Kun James Shen

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**Abstract** Impairment of learning and memory is a significant pathological feature of many neurodegenerative diseases including FTLD-TDP. Appropriate regulation and fine tuning of spinogenesis of the dendrites, which is an integral part of the learning/memory program of the mammalian brain, are essential for the normal function of the hippocampal neurons. TDP-43 is a nucleic acid-binding protein implicated in multicellular functions and in the pathogenesis of a range of neurodegenerative diseases including FTLD-TDP and ALS. We have combined the use of single-cell dye injection, shRNA

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P. Majumder · J. K. Bose · W.-C. Cheng · Y.-H. Fang · Y.-L. Chen · C.-K. J. Shen (☒)
Institute of Molecular Biology, Academia Sinica,
Nankang, Taipei 115, Taiwan
e-mail: ckshen@imb.sinica.edu.tw

Y.-T. Chen · C.-K. J. Shen Institute of Genome Sciences, National Yang-Ming University, Taipei 112, Taiwan

C.-C. Wu  $\cdot$  K.-J. Tsai Institute of Basic Medical Science, National Cheng Kung University, Tainan, Taiwan

S.-J. Cheng Neural Circuit Electrophysiology, NPAS, Academia Sinica, Taipei 115, Taiwan

K.-J. Tsai Institute of Clinical Medicine, National Cheng Kung University, Tainan, Taiwan

C.-C. LienInstitute of Neuroscience, National Yang-Ming University,Taipei 112, Taiwan

knockdown, plasmid rescue, immunofluorescence staining, Western blot analysis and patch clamp electrophysiological measurement of primary mouse hippocampal neurons in culture to study the functional role of TDP-43 in mammalian spinogenesis. We found that depletion of TDP-43 leads to an increase in the number of protrusions/spines as well as the percentage of matured spines among the protrusions. Significantly, the knockdown of TDP-43 also increases the level of Rac1 and its activated form GTP-Rac1, a known positive regulator of spinogenesis. Clustering of the AMPA receptors on the dendritic surface and neuronal firing are also induced by depletion of TDP-43. Furthermore, use of an inhibitor of Rac1 activation negatively regulated spinogenesis of control hippocampal neurons as well as TDP-43-depleted hippocampal neurons. Mechanistically, RT-PCR assay and cycloheximide chase experiments have indicated that increases in Rac1 protein upon TDP-43 depletion is regulated at the translational level. These data together establish that TDP-43 is an upstream regulator of spinogenesis in part through its action on the Rac1 → GTP-Rac1 → AMPAR pathway. This study provides the first evidence connecting TDP-43 with the GTP-Rac1 → AMPAR regulatory pathway of spinogenesis. It establishes that mis-metabolism of TDP-43, as occurs in neurodegenerative diseases with TDP-43 proteinopathies, e.g., FTLD-TDP, would alter its homeostatic cellular concentration, thus leading to impairment of hippocampal plasticity.

**Keywords** TDP-43 · FTLD-TDP · Tg mouse model · Spinogenesis · GTP-Rac1 · GluR1 · Translation

#### **Abbreviations**

ALS Amyotrophic lateral sclerosis
AMPAR α-Amino-3-hydroxy-5-methyl-4isoxazolepropionic acid receptor



CamKII Calmodulin-dependent protein kinase I

DIV Day in vitro dTDP Drosophila TDP-43

FTLD-U Frontotemporal lobar degeneration with

ubiquitin-positive inclusions

GTP Guanosine-5¢-triphosphate

mEPSC Miniature excitatory postsynaptic current

TDP-43 TAR DNA-binding protein-43

# Introduction

The spatial learning of vertebrates relies heavily on the hippocampus. The hippocampal neurons are covered with more than 30,000 dendritic spines that are tiny, structurally heterogeneous protrusions responsible for learning and memory [9]. In neurodegenerative diseases like FTLD-U or FTLD-TDP [37] and ALS, the gradual decline of spatial memory function is a very important pathological feature [18, 43]. However, currently there is no direct evidence that impairment of learning/memory in these diseases is caused by changes in spine density of hippocampal neurons. The structure and density of the spines change at different developmental stages [50] and in response to neurotransmitter activation or environmental/hormonal signals [42, 43]. These changes are in part responsible for the regulation of synaptic plasticity in the hippocampus [6, 47]. The dendritic filopodia are the precursors of dendritic spines [15, 23] and are transformed to spines upon synapse formation [24, 67, 68].

A number of factors and cellular pathways are known to regulate the processes of generation of dendritic protrusions and their maturation into spines or spinogenesis [5, 53]. Among the modulators of spine formation and dendritogenesis in hippocampal neuron culture are signaling pathways involving CamKII [63], as well as Rho GTPases Rac1 and RhoA [22, 36, 66]. In particular, Rac1 initiates new spine formation at an early stage of hippocampal neuron development in culture, whereas at a later stage, it regulates the morphology and function of the already existing spines [61]. Furthermore, Rac1 activity is required for the clustering of  $\alpha$ -amino-3-hydroxy-5-methyl-4- isoxazolepropionic acid receptor (AMPAR) at the spines [27, 61], a cellular process closely associated with the strengthening and maturation of the synapses [21, 38].

TDP-43 is a highly conserved protein [58] ubiquitously expressed in all tissues, including the hippocampal neurons [60]. It is involved in multiple cellular activities including transcriptional repression, alternative splicing and RNA processing [7, 31, 59]. Multiple RNA-binding targets of TDP-43 have been identified recently [46, 55]. Furthermore, TDP-43 has been identified as a pathosignature protein of a range of neurodegenerative diseases including FTLD-TDP and ALS

[1, 41, 48], and mis-metabolism of TDP-43 has been suggested as one cause for diseases with TDP-43 proteinopathies [8, 10, 31, 59]. Several molecular and cellular characteristics of TDP-43 in rodent brains and hippocampal neuron culture have suggested that TDP-43 is very likely involved in the regulation of neuronal plasticity, such as its localization at post-synaptic density (PSD) of the spines in vivo and the increase of TDP-43-containing granules upon depolarization [60]. Studies in animal models are consistent with the scenario that TDP-43 regulates neuronal activities in vivo. For example, fruit flies lacking expression of fly dTDP-43 in their motor neurons and mushroom bodies exhibit impaired locomotive activities and learning capability, respectively [16, 34]. Although there are no reports yet of mouse models with loss of neuronal functions of TDP-43, over-expression of mouse mTDP-43 in the cortex and hippocampus leads to the development of FTLD-TDP-like phenotypes with neuronal loss, lowered cognitive functions and abnormal motor functions [56]. Significantly, these pathogenic phenotypes of the TDP-43 transgenic (Tg) mice were associated with abnormal processing and post-translational modifications, e.g., polyubiquitination of TDP-43. Cytoplasmic TDP-43 inclusions also formed in neurons in the forehead of Tg mice [56]. Changes in the amount of known regulators of neuronal plasticity, e.g., p-ERK and p-CREB, were also observed in the foreheads of Tg mice [56]. Also, Thyl promoter-directed over-expression of hTDP in mice leads to motor neuron disease phenotypes with motor neuron loss and severe spasticity [62].

To investigate the possible role of TDP-43 in the morphogenesis of the hippocampal neurons as well as in the pathophysiology of neurodegenerative diseases, we carried out experiments with combined uses of primary hippocampal neurons cultured from wild-type mice and an FTLD-TDP mouse model, single-cell dye injection, shRNA knockdown of TDP-43, immunofluorescence staining, Western blotting, and RT-PCR analysis. We present data showing that TDP-43 negatively regulates the dendritic spinogenesis during early development of the mammalian hippocampal neurons. Furthermore, this regulation is mediated through the Racl-AMPAR pathway, likely as a result of translational repression of Rac1 in the presence of TDP-43. Importantly, our data suggest that this regulation could be impaired in neurodegenerative diseases with TDP-43 proteinopathies.

# Materials and methods

Mice and primary neuronal cultures

The wild-type FVB/NJ (Wt) mice were obtained from the National Laboratory Animal Center of Taiwan. The



generation of the CamKII-TDP-43 Tg mice has been described previously [56]. The breeding of the mice and culturing of the hippocampal neurons are described in the supplementary materials and methods.

For Rac1 inhibitor treatment, the cells from Wt mice were prepared as described in the supplementary materials and methods. At day in vitro (DIV) 13.5 in culture, Rac1 inhibitor (Calbiochem) was added to the medium at a final concentration of 50  $\mu$ M and the cells were further cultured for 6 h before immunofluorescence staining. In some cases, TDP-43 was knocked down by transfection with p-sh1TDP-43 prior to inhibitor treatment.

# Microinjection of single neurons

Dye injection of single neurons was carried out following the procedures described previously [30, 33]. For details, see the supplementary materials and methods.

#### Plasmid construction

Several plasmids were used in the RNAi knockdown and rescue experiments. For details, see the supplementary materials and methods.

RNAi knockdown of TDP-43 in the primary hippocampal neurons and the rescue experiment

For RNAi knockdown, the cultured hippocampal neurons were transfected with plasmid DNAs with the use of lipofectamine following the standard protocol. Each transfection mixture (500 µl) contained 2 µg pGFP-actin, 1 µg p-sh1 (TDP-43) or p-sh2(TDP-43) or p-shLuc, and lipofectamine in OPTI-MEM (Invitrogen). The mixture was added to cells grown in the neurobasal medium on the coverslip of a sixwell plate. One hour later, the medium was replaced with fresh neurobasal medium. Immunocytochemical analysis was carried out 48-h post-transfection. To rescue the effects of TDP-43 knockdown on cultured hippocampal neurons at DIV 12, co-transfection of pGFP-actin, p-sh1(TDP-43) or p-shLuc, and pFlag-TDP-43(mt) or pEF-Flag in the ratio of 4:2:1 was carried out. The transfection procedure was the same as that used in the knockdown experiments.

For Western blotting and RT-PCR analysis, cultured hippocampal neurons at DIV7 were transfected with the TDP-43 siRNA-1 oligo [3], a control scRNA oligo [3] or TDP-43 siRNA-2 oligo [25] using the same method as described above for plasmid transfection.

#### Immunocytochemistry

For the dye-microinjection experiments, primary hippocampal neurons injected with sulforhodamine 101 or

biocytin, as described above, were fixed with 4 % paraformaldehyde (PFA, Electron Microscopy Sciences) in 1× PBS at room temperature for 15 min, followed by two washes with 1× PBS each for 5 min. The cells were permeabilized with 0.05 % TritonX-100 in 1× PBS and then incubated with Avidin-conjugated Alexa488 (Invitrogen) for 15 min at room temperature. After five washes in 1× PBS each for 10 min, the cells were mounted with Vectashield (Vector Laboratories) and preserved in the dark at 4 °C. The images were obtained and analyzed by a Zeiss laser confocal microscope (LSM510) and fluorescence microscope (DeltaVision). Each confocal image was from a single optical section.

The procedures for the immunofluorescence staining experiments followed the standard protocols. For details, see the supplementary materials and methods.

Quantitative analysis of the morphologies of neurons in the hippocampal culture

The pyramidal neurons in the hippocampal culture were analyzed with respect to the total neurite length, neuritic major shaft number, neuritic branch number, and dendritic densities of the protrusions and spines following previously described criteria [6, 14]. For more details, see the supplementary materials and methods.

#### Cycloheximide chase experiment

The cultured hippocampal neurons transfected with scRNA oligo, siRNA-1 oligo, or siRNA-2 oligo were incubated with cycloheximide (Sigma; 70  $\mu$ g/ml) for different periods of time. The total cell extracts were then isolated by lysis in RIPA buffer and analyzed by Western blotting.

Western blotting and RT-PCR analysis

The procedures follow the standard protocols. For more details, see the supplementary materials and methods.

# Results

CamKII-directed over-expression of mouse TDP-43 reduced the dendritic protrusion density of cultured hippocampal neurons without affecting other neuronal structures

To examine the possible roles of TDP-43 in the regulation of the morphology and connection of neurons at the cellular level, we prepared hippocampal neuron cultures from both the wild-type mice (Wt) and CamKII-TDP-43 Tg mice with over-expression of mouse TDP-43 in mice under



the control of CamKII promoter [56]. Dye microinjection of single neurons was then used to quantitatively measure and compare the different parameters of neuronal characteristics. For each neuron, the neuritic main shaft number, neuritic branch number and the total length of all neurites were measured at a low magnification, as shown in Fig. S1a-I. Despite the increased level of TDP-43 expression at both the protein and RNA level in the primary hippocampal culture derived from the TDP-43 Tg mice (Fig. S2a-I and S2a-II), there were no obvious variations in the general neuronal morphologies between the cultured hippocampal neurons from the Wt and TDP-43 Tg mice. Neither were there significant differences in the total neurite length (Fig. S1a-II), total branch number (Fig. S1a-III), or neuritic main shaft number (Fig. S1a-IV).

Although the gross neurite morphology was not affected by over-expression of TDP-43, as described above, the cultured hippocampal neurons from the TDP-43 Tg mice exhibited a decrease in dendritic protrusion density when compared with the Wt control. The decrease could be seen in the proximal (soma 20 µm), middle (20–50 µm), and distal (50 µm the end) regions of the main shafts at DIV 14 and DIV 21, but not at DIV 7 (Fig. S1b). In particular, the dendritic protrusion density of the Tg mouse neurons in culture (5.4/10 µm) at DIV 21 was only half that of the Wt mice (10.2/10 µm). Thus, the data showed that elevation of the TDP-43 level in the hippocampal neurons, as directed by the CamKII promoter, had no effect on their major shaft numbers, neuritic branch numbers, and total neurite lengths (Fig. S1). However, it did repress the generation of new dendritic protrusions in culture at DIV 14 and later stages.

Since the biocytin micro-injection described above did not allow us to clearly examine and compare the structures of the dendritic protrusions, e.g., spines versus filopodia, of the cultured hippocampal neurons, we prepared hippocampal neuron cultures from  $GFP^{+/-} \times TDP-43^{+/+}$  Tg mice as well as from the control GFP+/- mice for further experiments. In this system, the spines (defined as shorter protrusions with a head) could be easily distinguished from the filopodia (longer protrusions with no head), because GFP fluorescence was bright enough for visualization of the protrusions. As exemplified in Fig. 1a and statistically analyzed in the histograms of Fig. 1b, there was a steady increase in the number of dendritic spine as well as the number of protrusions from DIV 7 to DIV 14 to DIV 21 in the neuronal culture from GFP-expressing control mice. Significantly, GFP-expressing TDP-43 Tg mice differed from the Wt control mice in two aspects. First, the density of the total dendritic protrusions did not increase with days in culture as much as the in vitro cultures from the Wt control mice. This was especially true when the protrusion densities at DIV 7 and DIV 14 were compared (Fig. 1b). Second, the densities of either the dendritic protrusions or the spines at DIV 14 and DIV 21 were significantly lower than those of the Wt controls (Fig. 1b). However, at DIV 14 and DIV 21, the percentages of spines among the protrusions of the cultured hippocampal neurons were similar between the Tg mice and Wt controls, e.g., 66 % for Tg mice and 70 % for Wt at DIV 14.

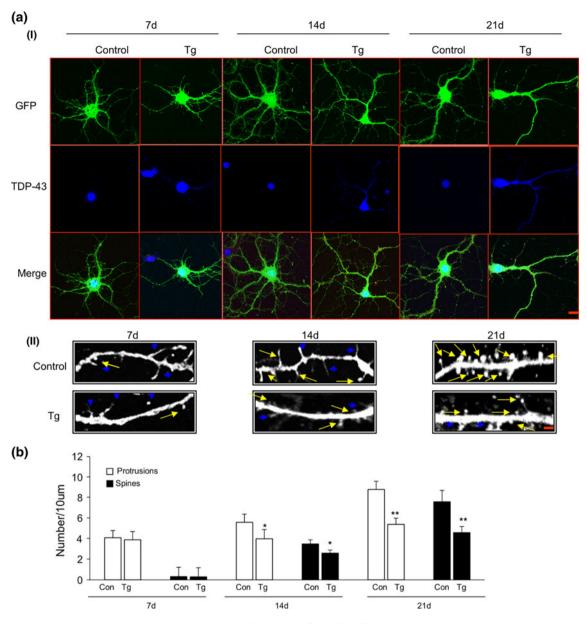
The results of Figs. 1 and S1b together suggest that CamKII-directed over-expression of TDP-43 inhibited the formation of new protrusions during development of the cortex and hippocampus, as deduced from the analysis of hippocampal neuronal cultures. Furthermore, the over-expression of TDP-43 did not suppress the maturation of the protrusions into spines at the later stages of neuronal development. Significantly, the suppression of spine development by the overexpression of TDP-43 indeed occurred in vivo, as shown by Golgi staining of brain sections from 6-month-old Wt and TDP-43 Tg mice (Fig. S3).

Knockdown of TDP-43 in cultured hippocampal neurons activated Rac1 expression and increased the numbers of dendritic protrusions and spines

To further explore the role of TDP-43 in the generation of dendritic protrusions and their maturation into spines, we analyzed the cultured hippocampal neurons upon knockdown of TDP-43 expression by RNAi. For this, the DIV 5 and DIV 12 hippocampal neuronal cultures were cotransfected with pGFP-actin and p-sh1(TDP-43) or p-sh2(TDP-43), the latter two of which encode two different shRNAs (sh1 and sh2) against TDP-43. As a control, the cultures were also co-transfected with pGFP-actin and the plasmid vector pLKO.1 or p-shLuc encoding a luciferase shRNA incapable of silencing TDP-43 expression (data not shown). The cultured neurons were then analyzed by immunofluorescence staining using anti-TDP-43 and anti-Rac1 antibodies at 48 h after transfection. Interestingly, as exemplified for sh1 in Fig. 2a-I, knockdown of the endogenous TDP-43 in the cultured hippocampal neurons by shRNA resulted in a significant increase of Rac1 expression at DIV 7 as well as DIV 14 (Fig. 2a-I, compare the 2nd and the 4th columns to the 1st and 3rd columns, respectively).

Remarkably, these changes in the expression levels of TDP-43 and Racl in the hippocampal neurons were also accompanied with increases in the densities of the dendritic protrusions and spines. As shown in Fig. 2a-II for sh1 and statistically analyzed in Fig. 2b-I for both sh1 and sh2, the densities of the protrusions (3.7/10  $\mu$ m) and spines (0.5/10  $\mu$ m) at DIV 7 were significantly increased upon depletion of TDP-43 by shRNA knockdown. Similarly, the densities of the protrusions (5.9/10  $\mu$ m) and spines





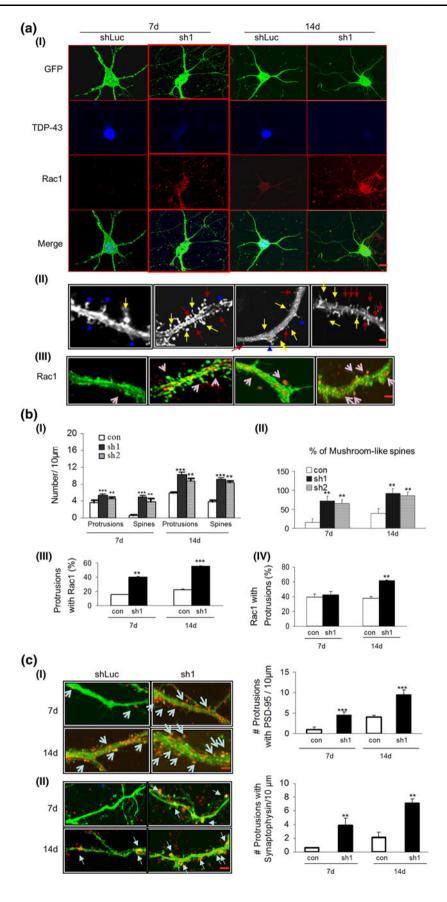
**Fig. 1** Decrease in dendritic protrusions and spine densities in cultured hippocampal neurons with over-expressing TDP-43. **a** Fluorescence microscopic pictures of cultured hippocampal neurons from GFP-expressing, CamKII-TDP-43 Tg mice and from GFP-expressing control mice. *I* The cultured hippocampal neurons at DIV 7, 14 and 21. The neuron cultures were stained with anti-TDP-43 (*blue*) before visualization under the microscope. *II* Representative higher-magnification pictures showing the structures of the dendritic protrusions. The *arrows* point to the spines and the *arrowheads* point to the

 $(3.9/10 \ \mu m)$  at DIV 14 were increased upon TDP-43 knockdown by sh1 and sh2. Interestingly, a close examination of the data of Fig. 2a-II and b indicated that knockdown of TDP-43 expression not only induced the formation of new protrusions, but also facilitated their maturation to form spines. First, it was evident that the percentage of spines among the protrusions at DIV 7  $(14\ \%)$  was increased to 88 % (using sh1) or 82 % (using

filopodium-like protrusions. The *scale bars* are 10  $\mu$ m (*I*) and 1  $\mu$ m (*II*), respectively. **b** Quantificative comparison of the effect of elevated level of TDP-43 in the Tg mice on the number and morphology of dendritic protrusions. For each bar, 40–45 dendrites were analyzed in each of five independent experiments and a total of 80–90 neurons were scored. The number of spines is represented by *black bars* and the number of total dendritic protrusions by *white bars*. Significant changes with \*\*p < 0.001 and \*p < 0.05

sh2) upon knockdown of TDP-43 by RNAi (Fig. 2b-I). Second, the percentages of mushrooms-like spines at both DIV 7 and DIV 14 also increased upon TDP-43 knockdown (Fig. 2a-II, b-II). Notably, a significantly higher portion of the protrusions of TDP-43-depleted neurons contained clusters of Rac1 (Fig. 2a-III, b-III). Furthermore, more Rac1 clusters of TDP-43-depleted neurons at DIV 14 were colocalized with the protrusions in comparison to the







▼Fig. 2 Increase in dendritic protrusion/spine densities and Rac1 expression in cultured hippocampal neurons upon RNAi knockdown of TDP-43. a Confocal microscopic pictures of cultured hippocampal neurons at DIV 7 and DIV 14. The scale bar is 10 µm long. I The hippocampal neurons were co-transfected with p-sh1TDP-43 and pGFP-actin at DIV 5 and DIV 12, respectively. Two days later, the cells were stained with anti-TDP-43 (visualized with Alexa Fluor 546) and anti-Rac1 (visualized with Alexa Fluor 647) antibodies. The cells were visualized by their GFP auto-fluorescence or by immunostaining against anti-GFP antibody. Note the depletion of TDP-43 and increase of Rac1 in neurons transfected with p-sh1TDP-43 (2nd and 4th columns from left), but not those transfected with the control p-shLuc plasmid (1st and 3rd columns). II Representative highermagnification pictures showing the different structures of the dendritic protrusions. Blue arrowheads point to the filopodium-like protrusion. The red and yellow arrows point to the spines, of which the red arrows represent mushroom-like spines. III The presence of Rac1 dots (red) in the dendritic protrusions are indicated by arrows. The scale bars in **b** and **c** are 2-µm long. **b** Quantification of the effects of TDP-43 knockdown using two different shRNAs on the morphology of dendritic spines and the co-localization of Rac1 with the dendritic protrusions. I Bar diagram showing the mean numbers of spines and total dendritic protrusions per 10 µm of the dendrites in the p-sh1(TDP-43)-transfected samples (denoted as "sh1"), p-sh2(TDP-43)-transfected samples (denoted as "sh2") and p-shLuc-transfected control samples (denoted as "con") at DIV 7 and DIV 14, respectively. II Bar diagram showing the percentages of mushroomlike spines among the total protrusions of different samples. III Percentage of the protrusions containing the Racl clusters. IV Percentage of Rac1 clusters colocalized with the protrusions of cultured neurons at DIV 7 and DIV 14. For each data point, a total of 47- to 55-transfected neurons were analyzed from four independent experiments. Significant changes with \*\*\*p < 0001 and \*\*p < 0.001. c Increase of PSD-95 and synaptophysin-containing protrusions upon TDP-43 depletion. Cultured hippocampal neurons co-transfected with p-sh1 (TDP-43), or p-shLuc (con), plus pGFP-actin at DIV 5 and DIV 12 were co-stained with anti-TDP-43 (not shown) and anti-PSD-95 (I) or anti-synaptophysin (II) at DIV 7 and DIV 14, respectively. Left immunofluorescence staining pictures of PSD-95 [red, I], synaptophysin [red, II], and GFP (green). The PSD-95 or synaptophysincontaining protrusions are pointed by the arrows. The scale bars are 2-µm long. Right, bar diagrams showing the mean number of dendritic protrusions colocalized with PSD-95 (I) or synaptophysin (II) per 10 µm of dendrites in different samples

control neurons (Fig. 2b-IV). The TDP-43 shRNA-induced changes in the dendritic spine density were not due to off-target effects, since co-transfection of the plasmid pFlag-TDP-43(mt) expressing a sh1 RNA-resistant form of TDP-43, as exemplified in the 3rd and 4th columns of Fig. S4a, reversed the sh1 RNA-induced increases of spine density (Fig. S4b-I), as well as the percentage of mushroom-like spines (Fig. S4b-II) of the DIV 14 hippocampal neurons. Notably co-expression of Flag-TDP-43(mt) also suppressed both the spine density and percentage of the mushroom-like spines of DIV14 hippocampal neurons transfected with the control plasmid p-shLuc (1st and 2nd columns of Fig. S4a; Fig. S4b), a result consistent with the analysis of the hippocampal cultures from CamKII-TDP-43 Tg mice (Fig. 1, S1).

We have further examined whether the newly generated spines upon TDP-43 knockdown could form synapses at DIV 7 and DIV 14 by immunofluorescence staining of the dissociated neurons with the use of anti-PSD-95 and anti-synaptophysin antibodies. As shown, most of the dendritic protrusions in the p-sh1(TDP-43)-transfected mouse neurons at DIV 7 and DIV 14 stained positive for PSD-95 (Fig. 2c-I) as well as synaptophysin (Fig. 2c-II). A similar result was obtained with the use of p-sh2 (TDP-43) transfection (data not shown). The data in Fig. 2 altogether indicated that TDP-43 knockdown in the cultured hippocampal neurons induced the expression of Racl and probably also activated it to generate more dendritic spines, most of which could form functional synapses.

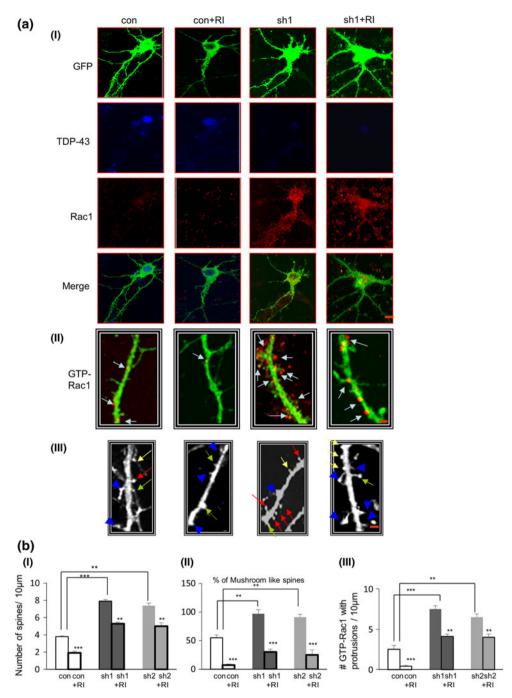
It is interesting to note that, similar to TDP-43 overexpression (Fig. S1a), knockdown of TDP-43 also had no significant effect on the development of neurite length, neuritic branch number and major shaft number of hippocampal neurons in culture (Fig. S5).

# TDP-43 knockdown-induced spine increase was mediated through Racl activation

A previous study demonstrated that activation of Racl could induce spine formation and maturation [61]. Since the knockdown of TDP-43 in the cultured hippocampal neurons was accompanied by both the elevation of total Racl level and increase in spine density (Fig. 2), we tested whether TDP-43 knockdown also activated Racl. For this, DIV 12 primary hippocampal neurons of the Wt mice were co-transfected with pGFP-actin/p-sh1(TDP-43) or pGFP-actin/p-sh2(TDP-43) and then immunofluorescence co-stained with anti-TDP-43/anti-Rac1 or anti-TDP-43/anti-GTP-Rac1, with or without treatment with an Rac1 inhibitor. The Rac1 inhibitor has been shown to reduce the level of GTP-bound Rac1 (GTP-Rac1 or activated Rac1) without affecting the total Rac1 amount [39; see also Western blotting data in Fig. S6a].

As shown in Fig. 3a and in agreement with the results shown in Fig. 2a-I, the expression of TDP-43 sh1RNA elevated the level of total Racl protein (compare the 3rd column from the left to the 1st column of Fig. 3a-I; also see Fig. S6a), as well as that of GTP-Racl (compare the 3rd panel from the left to the 1st panel of Fig. 3a-II; see also Fig. S6a). Treatment with Racl inhibitor (RI) did not affect the level of TDP-43 sh1RNA-induced total Racl or that of TDP-43 (compare the far right column to the 3rd column from the left of Fig. 3a-I; see also Fig. S6a), but inhibited the induction of GTP-Racl by TDP-43 knockdown (compare the far right panel to the 3rd panel from the left of Fig. 3a-II; see also Fig. S6a). Significantly, as exemplified in Fig. 3a-III for p-sh1(TDP-43) and statistically presented in Fig. 3b-I, treatment with the Rac1 inhibitor decreased





**Fig. 3** Effect of Rac1 inhibitor RI on the TDP-43 knockdown-induced increase of GTP-Rac1 level and dendritic spine density. a Confocal microscopic pictures of cultured hippocampal neurons at DIV 14. *I* The cultured hippocampal neurons were co-transfected with p-sh1(TDP-43), p-sh2(TDP-43), or p-shLuc(con), plus pGFP-actin at DIV 12, treated with 50 μM of Rac1 inhibitor (RI) as described in "Materials and methods", and then immuno-stained with anti-TDP-43 (*blue*) and anti-Rac1 (*red*). Note that the level of Rac1 remained unchanged upon RI treatment of the TDP-43-depleted (compare the *3rd and 4th columns*) or control (compare the *1st and 2nd columns*) neurons. The *scale bar* is 20-μm long. **II** Representative highermagnification photos showing the clusters of GTP-Rac1 (*red dots*).

The *arrows* point to the GTP-Rac1 clusters associated with the dendritic protrusions. The *scale bar* is 2-µm long. *III* Representative higher-magnification photos showing the different structures of the dendritic protrusions in different samples. *Blue arrowheads* point to the filopodium-like protrusion. *Red* (mushroom-like spines) and *yellow arrows* represent the spines. The *scale bar* is 1-µm long. **b** Bar diagrams of the mean numbers of spines/10 µm of dendrites (*I*), percentages of mushroom-like spines (*II*), and mean numbers of GTP-Rac1 clusters colocalized with the protrusions/10 µm of dendrites (*III*) are shown. For each set, 40–45 neurons were studied. Significant changes with \*\*\*p < 0.0001 and \*\*p < 0.001



the dendritic spine densities of the cultured neurons transfected with the control p-shLuc plasmid (compare the left 2 bars of Fig. 3b-I) as well as neurons transfected with p-sh1(TDP-43) (compare the middle 2 bars of Fig. 3b-I) or p-sh2(TDP-43) (compare the right 2 bars of Fig. 3b-I). Furthermore, the average spine densities of neurons expressing TDP-43 sh1RNA or sh2RNA and also treated with the Rac1 inhibitor were similar to that of the control neurons (compare the "con" bar with the "sh1 + RI" or "sh2 + RI" bar of Fig. 3b-I). We also examined and statistically analyzed the percentages of matured spines (mushroom-like spines) in RI-treated samples. As shown in Fig. 3b-II, inhibition of Rac1 activation decreased the extent of spine maturation in either the control or the TDP-43 knockdown samples. These changes in the spine densities coincided with the reduction of protrusion-associated GTP-Rac1 upon treatment with RI (Fig. 3b-III). The data of Fig. 3 thus indicated that the effect of TDP-43 knockdown on the increase of the density of the dendritic spines was mediated mainly through the activated Rac1 molecules, i.e., GTP-Rac1, in the elevated total Rac1 population.

Depletion of TDP-43 increased the level of AMPAR as well as its clustering at the dendritic protrusions

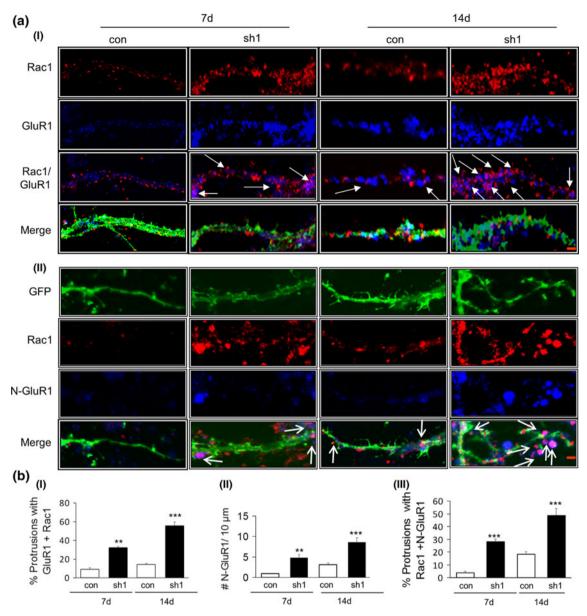
Rac1 activation-induced clustering of the AMPA receptors at the dendritic surface [61] was shown to be important for synapse maturation, hippocampal plasticity, and spatial learning/memory [21]. To examine whether the elevation of expression and activation of Rac1 in TDP-43-depleted hippocampal neurons, as shown in Figs. 2 and 3 above, led to changes in AMPAR distributions, we co-transfected the hippocampal neuron culture at DIV 5 and DIV 12 with pGFP-actin and p-sh1(TDP-43), and then co-stained the neurons with antibodies against Rac1, GluR1, and N-GluR1. As shown in Fig. 4a, the increase in Rac1 in the p-sh1(TDP-43)-transfected neurons was accompanied by an increase in GluR1, a subunit of AMPAR (compare the 2nd and 4th columns from the left of Fig. 4a-I to the 1st and 3rd columns, respectively). An increase in GluR1 expression in TDP-43-depleted cells was also evident in Western blot analysis of the total proteins isolated from primary hippocampal culture transfected with TDP-43 siRNA-1 oligo (Fig. S6a). Furthermore, an increase in the co-localization of Rac1 with GluR1 was also evident (arrows in Fig. 4a-I) in TDP-43-depleted samples. Immunostaining experiments using an antibody against the N-terminus of GluR1 (N-GluR1) showed that the increased amount of AMPARs in p-sh1(TDP-43)-transfected neurons was predominantly present at the surface of the dendrites (compare the 2nd and 4th columns of panels from the left of Fig. 4a-II to the 1st and 3rd columns, respectively). Moreover, a significant portion of these surface AMPAR clusters co-localized with the Rac1 clusters (arrows in Fig. 4a-II). Statistical analysis showed that knockdown of TDP-43 significantly increased the percentage of protrusions with colocalized Rac1 and surface AMPAR clusters (Fig. 4b-I, b-III) as well as the density of N-GluR1/AMPAR clusters on the dendritic surface (Fig. 4b-II). These changes in Rac1, GTP-Rac1, and GluR1 at the surface of the hippocampal neurons upon shRNA knockdown could be suppressed (rescued) with the use of the Rac1 inhibitor RI or by co-transfection with pFlag-TDP-43(mt) (Figs. S6b and S7, respectively).

As expected, the neuron culture from the TDP-43 Tg mice contained decreased levels of Rac1, GluR1, and GTP-Rac1 proteins, as shown by in both Western blotting (Fig. S2a-I) and immunostaining (Fig. S2b). Also, NGluR1 clustering decreased in the TDP-43 Tg mouse samples. Taken together, these data (Figs. 2, 3, 4, S2, S6 and S7) demonstrate that TDP-43 regulates spinogenesis through its negative effect on the Rac1  $\rightarrow$  GTP-Rac1 $\rightarrow$  GluR1/AM-PAR pathway.

Electrophysiology analysis of TDP-43-depleted primary hippocampal neurons

To further test how TDP-43 affects the function of the spines in the hippocampal neuron culture, we co-transfected the neurons at DIV 6 with p-sh1(TDP-43) or p-sh2(TDP-43) and pGFP-actin, or with p-shLuc and pGFP-actin. Miniature excitatory postsynaptic current (mEPSCs) in the transfected neurons, as identified by GFP auto-fluorescence, was recorded in the presence of the sodium channel blocker, tetrodotoxin (TTX), the GABA receptor and the NMDA receptor (Fig. S8). Consistent with the morphological changes observed (Figs. 2, 3, 4), TDP-43 knockdown significantly increased the individual current amplitude [max pA = 50 in p-sh1(TDP-43)transfected cells and max pA = 45 in p-sh2(TDP-43)transfected cells (data not shown), as compared to max pA = 25 in the control neurons (Fig. S8a)], as well as the average amplitude of mEPSCs (n = 8 for the sh1 samples, n = 10 for each of the sh2 and control samples; p = 0.005and p = 0.0001 for the sh1 and sh2 groups, respectively) (Fig. S8b-I), suggesting the presence of more matured synapses on the neurons. No significant differences in the mEPSC frequency (Fig. S8b-II) or decay time constant (Fig. S8b-IV) between the control and TDP-43-depleted neurons could be detected. However, a significant difference in the rise time constant was detected (Fig. S8b-III). These results indicate that TDP-43 depletion-activated Rac1 (Fig. 3) and the consequent increase of AMPAR clustering at the dendrite surface (Fig. 4) induced neuronal firing in the form of miniature current.





**Fig. 4** Clustering of AMPARs on the dendritic surface of cultured hippocampal neurons upon TDP-43 knockdown. **a** Representative high magnification confocal microscopic pictures showing distribution patterns of AMPARs in cultured hippocampal neurons with or without knockdown of TDP-43. The cultured hippocampal neurons transfected with pGFP-actin and p-shLuc (*control panels*) or with pGFP-actin and p-sh1(TDP-43) (sh1 panels) at DIV 5 or DIV 12 were co-immunostained at DIV 7 and DIV 14 with anti-Rac1 (*red*), anti-GluR1 [*blue* in *I*] or anti-N-GluR1 [*blue* in *II*], and anti-TDP-43 (not shown). The *scale bars* are 2-μm long. Note the increase of Rac1 expression and co-localization of Rac1 clusters with clusters of

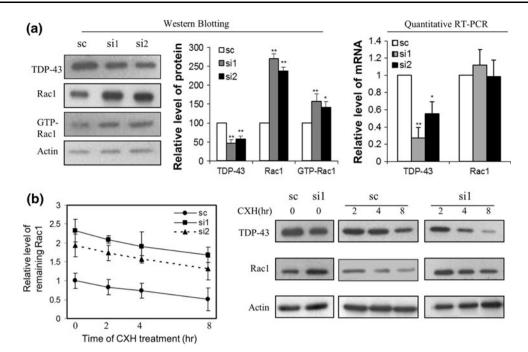
# Mechanistic aspects of Rac1 repression by TDP-43

To gain insight into the possible mechanisms of the repression of Rac1 expression by TDP-43, cultured DIV 7 hippocampal neurons were transfected with TDP-43 siR-NA oligos (siRNA-1 and siRNA-2) and then subjected to

N-GluR1, or AMPARs, as pointed out by the *arrows*. **b** Quantification of the effects of TDP-43 knockdown on the appearance of the AMPAR clusters and their co-localization with Rac1 at the dendritic protrusions. The bar diagrams show the percentage of dendritic protrusions containing both AMPAR and Rac1 clusters (I), the mean number of N-GluR1 clusters /10  $\mu$ m of dendrites (II), and the percentage of dendritic protrusions containing both the N-GluR1 and Rac1 clusters (III), respectively. For each data set, a total of 50–65 neurons were scored from three independent experiments. Significant changes with \*\*\*p < 0.0001 and \*\*p < 0.001

quantitative Western blotting and RT-PCR analyses (Fig. 5a). As expected (from studies described in Figs. 2, 3, 4), the levels of both the protein and mRNA of TDP-43 in the cultured neurons decreased significantly upon treatment with either of the siRNA oligos in comparison to the control scRNA oligo treatment (Fig. 5a). Significantly,





**Fig. 5** Mechanistic aspects of the repression of Rac1 expression by TDP-43. **a** Expression levels of TDP-43 and Rac1 in the cultured hippocampal neurons upon depletion of TDP-43. The total proteins and RNAs from DIV 7 primary hippocampal cultures transfected with TDP-43 siRNA-1 oligo (si1), TDP-43 siRNA-2 oligo (si2), or the control scRNA oligo (sc) for 48 h were subjected to Western blotting (*left panels*) and quantitative RT-PCR (*right panel*) analysis. The statistical analysis of the relative levels of Rac1, GTP-Rac1, and TDP-43 proteins are shown in the *left histogram*, while those of Rac1 and TDP-43 mRNAs are shown in the *right histogram*. \*\*p < 0.001 and \*p < 0.05. Note the increases in the amounts of both Rac1 and GTP-Rac1 proteins in the TDP-43 knockdown protein sample (si) in comparison to the sc control (*left panels*). On the other hand, the level

of Rac1 mRNA in the TDP-43 knockdown RNA sample from either of the siRNA oligo-transfected cells (si) is similar to that in the sc control sample (*right panels*). **b** Cycloheximide chase experiment. Primary hippocampal neurons at DIV 7 were transfected with TDP-43 siRNA-1 (si1), TDP-43 siRNA-2 (si2), or control sc RNA oligo for 40 h. Cycloheximide (70 μg/ml) was added to the culture media and the total proteins were isolated at 0, 2, 4, and 8 h afterward. The levels of TDP-43, Rac1, and actin in these extracts were analyzed by Western blotting. The gel patterns are shown on the *right* and the statistical analysis in the histogram on the *left*. Note the similar rates of cycloheximide-induced decreases of Rac1 protein level among the si1-, si2-, and sc oligo-transfected samples

while the amounts of the Rac1 protein as well as GTP-Rac1 increased upon knockdown of TDP-43 (left panels, Fig. 5a), the level of Rac1 mRNA remained unchanged (right panel, Fig. 5a). Notably, as observed in the TDP-43 knockdown samples, there was also no change in the Rac1 mRNA level in TDP-43 over-expressing neurons compared to the control cells (Fig. S2a-II). Importantly, Rac1 mRNA is not a known binding substrate of TDP-43 [12, 46, 55, 64]. This data demonstrated that TDP-43 indeed negatively regulated the expression of Rac1, but this regulation was unrelated to the transcription of the Rac1 gene or to the stability of the Rac1 mRNA.

To examine whether the increase in the Rac1 protein upon depletion of TDP-43 was due to the stabilization of the Rac1 polypeptide, we carried out a cycloheximide-chase experiment. Since the level of TDP-43 in the cultured hippocampal neurons decreased significantly between 36 and 48 h after transfection of the siRNA oligos (Fig. 5a; data not shown), we transfected DIV 7 cultures with either of the siRNA oligos or with a scRNA oligo for 40 h and then chased with 70  $\mu$ g/ml of cycloheximide for 2, 4, and

8 h. As expected, at the 40-h time point following siRNA transfection and 0 h of cycloheximide treatment, the level of Rac1 protein in TDP-43-depleted neurons was higher by approximately 2.2-fold (upon siRNA-1 transfection) or around 1.9-fold (upon siRNA-2 transfection) than those transfected with scRNA oligo (Fig. 5b). Also, the levels of Rac1 protein in all three samples declined along the course of cycloheximide treatment. Importantly, the slopes of the declination were similar among the three samples, indicating that knockdown of TDP-43, while elevating the Rac1 protein level, did not affect the stability of the Rac1 protein. Based on these results, we suggest that TDP-43 negatively regulates the expression of the Rac1 protein at the translational level.

# Discussion

In view of the correlation in literature between the misregulation of TDP-43 metabolism and the loss of cognitive functions in neurodegenerative diseases with TDP-43(+)



inclusions, e.g., FTLD-TDP, we have investigated the possible role of TDP-43 in the morphogenesis of the hippocampal neurons. Our data indicate that TDP-43 negatively regulates the generation of protrusions/spines. Furthermore, this regulation by TDP-43 is mediated through the suppression of Rac1 expression, a Rho GTPase previously implicated in the activation of spinogenesis during the early development of hippocampal neurons. This negative regulation of Rac1 expression by TDP-43 appeared to act at the translational level. This study is novel in several aspects. Firstly, this is the first study that uncovers the significant contribution of TDP-43 to spine formation and maturation at the early stages of brain development. Secondly, it is the first to link TDP-43 with the well-known Rac1-AMPAR pathway in the regulation of neuron morphogenesis. Finally, this study is probably the first to link TDP-43 function to learning and memory at the molecular and cellular level. Thus, a loss of TDP-43 function could be one of the major causes of the learning and memory defects observed in FTLD-TDP patients.

Biocytin micro-injection allows the examination of the morphology of a single neuron [52]. Among the different parameters of neuronal morphology that we examined throughout development in culture from DIV 7 to DIV 21, only the density of the dendritic protrusions was affected by the increased cellular concentration of TDP-43 in the hippocampal neurons of the CamKII-TDP-43 Tg mice (Fig. S1). In particular, the densities of the protrusions at DIV 14 and DIV 21, but not DIV 7, were suppressed in the TDP-43 over-expressing neurons in comparison to the control (Fig. S1b). Analysis of the hippocampal neuron culture from GFP mice over-expressing TDP-43 supports the single-neuron dye-microinjection data and shows that the decrease in the density of the protrusions, as observed in Fig. S1, was paralleled by decreases in the spine densities at DIV 14 and DIV 21 (Fig. 1). Interestingly, the percentages of the spines among the total dendritic protrusions of the cultured Tg mouse hippocampal neurons at DIV 14 and DIV 21 were similar to those of the nontransgenic samples (Fig. 1b). This fact indicates that overexpression of TDP-43, as in the CamKII-TDP-43 Tg mouse neurons, mainly suppresses the generation of new protrusions, but does not suppress the maturation of protrusions into spines. The loss of the dendritic spines/protrusions in the hippocampal neurons of the TDP-43 Tg/FTLD-TDP mice was similar to that observed in several neurodegenerative diseases, e.g., Alzheimer's and Huntington's diseases, as reflected by the loss of excitatory inputs to the affected brain areas [19, 20, 28, 51]. Since the dendritic spine is the site of synapse formation and is a dynamic structure critical for neuronal plasticity [2], our analysis suggests that dendritic spine loss is one major cause for the lowered cognitive abilities of the CamKII-TDP-43 Tg mice [56] and, by implication, of FTLD-TDP patients with elevated level of TDP-43 [11, 40].

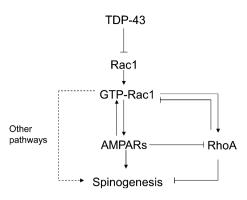
The physiological role of mammalian TDP-43 in the regulation of spinogenesis is further supported by TDP-43 knockdown by RNAi (Fig. 2). Notably, TDP-43 depletion significantly elevates the formation of both the protrusions and spines in the hippocampal neurons starting from the early time of primary cell culturing (7 DIV) and this elevation continues at least through DIV 14 (Fig. 2), without changing any other neuron morphology (Fig. S5). Remarkably, the percentage of spines among the protrusions at DIV 7 increased drastically from 10 % to more than 80 % upon TDP-43 knockdown (Fig. 2b), and this change could be reversed by co-expression of a sh1RNAresistant form of TDP-43 (Fig. S4). These results indicate a profound suppressive effect of TDP-43 on the maturation of spines from filopodia early in hippocampal neuronal development. Notably, while we found no significant changes in the neurite length or branch number in the cultured hippocampal neurons upon knockdown of TDP-43 expression (Fig. S5), others have reported an association of TDP-43 knockdown with inhibition of neurite growth [17, 65]. In those studies, however, in vitro differentiation of a neuronal cell line (SHSY5Y) [17] and cultured neuronal precursors [65] were studied. Also, Iguchi et al. [25], found that depletion of TDP-43 in the Neuro 2A cell line led to inhibition of neurite formation, and this was accompanied by a decrease in GTP-Rac1 without a significant change in the total Rac1 level; our findings (Fig. S9) were in agreement with these results. Thus, regulation of spine density, but not neurite length or branch number, by TDP-43 appears to be a unique feature of the developmental program of cultured hippocampal neurons. However, it should be noted that TDP-43 was not completely suppressed in our experiments (Fig. S6), and further depletion of TDP-43 might affect neurite length and branch number.

What could be the underlying mechanisms of TDP-43 suppression of spinogenesis of the hippocampal neurons? Although there have been a number of proteins previously shown to be capable of modulating the number and structure of dendritic spines [5], we have initially chosen to examine the possible involvement of Rho GTPase Rac1 as a downstream factor for the regulation of spinogenesis by TDP-43 due to the following reasons. First, Rac1 is historically known to cooperate with AMPAR to initiate spinogenesis at an early stage of hippocampal neuron development, as well as to regulate the function and morphology of pre-existing spines [54, 61]. Secondly, activation of Rac1 is also associated with hippocampal plasticity in addition to learning and memory [21]. Thirdly, over-expressing of the AMPAR subunit GluR1 in glioma cells followed by GluR1 stimulation causes FAK phosphorylation, Rac1 activation, and formation of lamellipodia



[44]. Furthermore, the Rho GTPase-activating enzyme GEF-H1 physically associates with AMPAR and links the change in AMPAR activity to the regulation of structural plasticity of neurons [29]. It is thus interesting and reassuring that TDP-43 depletion in the cultured hippocampal neurons indeed increases the levels of both the total Rac1 protein (Fig. 2a) and its activated form GTP-Rac1 (Fig. 3a), promotes the surface clustering of the AMPAR subunit GluR1 as well as their co-localization with Rac1 at the protrusions (Fig. 4), and induces neuron firing as evidenced by the data from the mEPSC measurements (Fig. S8). Consistent with the notion that TDP-43 is an upstream regulator of Rac1, depletion of TDP-43 in the early hippocampal neurons in culture also induced the clustering of Rac1 at the protrusions (Fig. 2b-III, b-IV), increased the density of matured spines such as the mushroom-like spines (Fig. 2b-II), and increased the clustering of PSD-95 and synaptophysin (Fig. 2c) at the protrusions. Significantly, we also observed the inhibition of spine formation in both the control sample and TDP-43-depleted hippocampal neurons at DIV 14 by the Rac1 inhibitor (Fig. 3b), which did not alter the level of total Rac1 (Fig. 3a-I) but decreased that of GTP-Rac1 (Fig. 3a-II). In contrast, we observed by both fluorescence immunostaining and Western blotting the reduction of Rac1, GluR1, and GTP-Rac1 in hippocampal neuron culture from TDP-43 Tg mice in comparison to the Wt control (Figs. S2, S10). These data together are highly suggestive that activated Rac1, or GTP-Rac1, positively regulates spinogenesis of the hippocampal neurons, while TDP-43 negatively regulates this process by suppressing the expression of Rac1 and consequently the level of GTP-Rac1. It should be noted here that Rac1 exerts a broad range of effects on the morphogenesis of neurons [13, 35], while an increase in Rac1 upon TDP-43 depletion is associated mainly with an increase of spine density (Fig. 2), but no changes in either neurite length or branch number (Fig. S5). Thus, it is likely that other downstream target(s) of TDP-43 could partially nullify the broad effect of Rac1 on the development of neurons.

Based on the above, we suggest a scenario for the involvement of TDP-43 in the regulation of spinogenesis and function of mammalian hippocampal neurons (Fig. 6). That is, throughout development of hippocampal neurons in culture, TDP-43 negatively regulates the expression of Rac1 protein. Wiens et al. [61] have shown that the Rac1 level is low at DIV 7 and gradually increases from DIV 7 to 14 to 21. Our Western blot data of the Wt and Tg samples concur with these findings (Fig. S10). Interestingly, the increase in Rac1 was accompanied by a decrease in TDP-43 during the course of development of the hippocampal neurons in culture (Fig. S10a). The reverse pattern of changes of the protein levels of TDP-43 and Rac1 further support the model depicted in Fig. 6. Conversely, depletion



**Fig. 6** Model of regulation of spinogenesis by TDP-43. The multiple pathways positively and negatively regulating the processes of spinogenesis are presented based on the literature and data from the current study. As shown, spinogenesis of the mammalian dendrites is positively regulated by activated Rac1 (GTP-Rac1) through the stimulation of AMPARs [61] and other pathways [26, 35]. GTP-Rac1 also activates another member of the small GTPase family, RhoA, which in turn can inactivate Rac1 and decrease the spine density [26, 32]. On the other hand, activation of AMPAR activates Rac1 and inhibits RhoA [29, 32, 44]. Finally, TDP-43 negatively regulates the spinogenesis through its inhibition of Rac1 expression, on the level of translation, and the consequent lowering of the level of GTP-Rac1. For more details of this role of TDP-43 in spinogenesis, see text and Figs. 1, 2, 3, 4, 5

of TDP-43 in the hippocampal neurons by RNAi knockdown increased the levels of Rac1 (Fig. 2a) as well as GTP-Racl (Fig. 3a) from DIV 7 onward, and consequently enhanced the clustering of the AMPA receptors at the dendritic surface (Fig. 4). These processes then facilitated the biogenesis of the protrusions and/or the spines (Figs. 2b, 3b) [29]. It should be noted here that at DIV 7, there was no significant difference in the densities of the dendritic protrusions between the Wt and TDP-43 Tg neurons in culture (Fig. 1b). Since the level of the Rac1 protein in the cultured hippocampal neurons of the Wt mice is low at DIV 7, it is likely that Rac1 translation had already been repressed by TDP-43 to a nearly maximal degree at this stage, and overexpression of TDP-43 could not further downregulate the Rac1 expression (left histogram, Fig. S10b). The similarly low amounts of Rac1 protein could then give rise to similar densities of the protrusions on DIV 7 hippocampal neurons of Wt and Tg mice (Fig. 1).

In summary, this study has demonstrated that mammalian TDP-43 is a guardian against over-development of neuronal protrusions and spines, a role interestingly parallel to that of the *Drosophila* ortholog of TDP-43, dTDP, which suppresses the biogenesis of boutons at the neuromuscular junctions in flies [34]. It should be noted that an increase in the number of dendritic spines does not necessarily lead to better cognitive functions such as learning and memory, since neuronal plasticity is regulated in many aspects at different molecular and cellular levels [49, 57].



Furthermore, since TDP-43 is a multi-functional DNA/ RNA-binding protein [7, 31, 59] having the potential to bind and regulate thousands of mRNAs [12, 46, 55, 64], depletion of TDP-43 would likely affect a wide range of cellular functions as well as cell survival, such as the maintenance of autophagy [4]. In view of the multi-functional and multi-regulatory targets of TDP-43, it is possible that there exist other pathways through which spinogenesis could be regulated by TDP-43. In any case, through repression of Rac1 expression and the downstream signaling cascade, TDP-43 negatively regulates the formation of protrusions and their maturation into spines (Fig. 6). This negative regulation is likely an essential part of the interaction network in the hippocampal neurons, and possibly other types of neurons as well, which controls spinogenesis and consequently the neuronal plasticity of the mammalian brain. This function of TDP-43 is likely one of those lost in diseased neurons with TDP-43 proteinopathies, e.g., the hippocampal neurons of FTLD-TDP and spinal cord motor neurons in ALS patients that contribute to the neurodegenerative phenotypes. The molecular basis of how the presence of TDP-43 represses Rac1 translation, directly or indirectly, and the functional relationship of TDP-43 with other Rac1 regulators [26, 45] remain to be investigated.

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